Serial No. To be assigned

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

BASTUE et al.

Examiner:

Unknown

Serial No.:

To be assigned

Group Art Unit:

Unknown

Filed:

July 9, 2003

Docket No.:

01485.0021-US-01

Title:

TRANSMISSION SPECTROMETERS WITH IMPROVED SPECTRAL

AND THERMAL CHARACTERISTICS

CERTIFICATE UNDER 37 C.F.R. 1.10:

'Express Mail' mailing number: EV 314776755 US

Date of Deposit: July 9, 2003

The undersigned hereby certifies that this Transmittal Letter and the paper or fee, as described herein, are being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450.

B, A. Luhman

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R.§1.97(b)

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant(s) respectfully submit(s) the items of information on the enclosed Form 1449 for the attention of the Examiner in the above-identified application.

This Information Disclosure Statement is being filed within three months of the filing of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d); within three months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application; before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. 1.114. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of each document or other information listed on the enclosed Form 1449 is enclosed in accordance with 37 C.F.R. §1.98(a)(2) and/or a copy of each document is not provided because it was previously cited by or submitted to the U.S. Patent and Trademark Office in a parent application in accordance with 37 C.F.R. §.1.98(d).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103. In addition, Applicant(s) do(es) not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, and reserve the right to establish otherwise under 37 C.F.R. §1.131 or others.

Consideration of the items listed is respectfully requested. According to M.P.E.P. §609, Applicant(s) request(s) that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Authorization is hereby given to charge any additional fees or credit any overpayments that may be deemed necessary to Deposit Account Number 50-1038.

Respectfully submitted,

Altera Law Group, LLC

PATENT TRADEMARK OFFICE

Date: July 9, 2003

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					Docket Number 01485.0021-US-01	Serial Number To be assigned			
INF	ORMA	TION DISCLOSURE	STATEMEN	т	Applicant(s)				
		PTO Form 1449		•	BASTUE et al.				
					Filing Date Group Art Unit				
					July 9, 2003 Unknown				
			U.S.	PATENT	DOCUMENTS	•			
EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	NAME Morey et al.		CLASS	SUB-CLASS	FILING DATE (IF APPROPRIATE)	
		5,042,898	08/27/91						
		5,615,010	03/25/97	Kraiczek et al.					
		US 2002/0181128 A1	12/05/02	Beattie et al.					
-									
	<u> </u>		<u> </u>						
			FOREIG	N PATE	NT DOCUMENTS				
EXAMINER INITIALS	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION		
							YES	NO	
		WO 01/95539	12/13/01	WIPO					
		WO 03/003531	01/09/03	WIPO					
	47								
	<u> </u>								

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Examiner:		Date Considered:	

OTHER DOCUMENTS